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PTO-1390(Rev. 12-2004)

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 371 **CONCERNING A FILING UNDER 35 U.S.C.**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

2ATTORNEY 'S DOCKET NUMBER ETH5084USPCT

PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. July 23, 2004 August 07, 2003 PCT/US2004/023765 HEMOSTATIC COMPOSITIONS CONTAINING STERILE THROMBIN TITLE OF INVENTION Sanyog M. Pendharkar et al. APPLICANT(S) FOR DO/EO/US: Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below ☐ The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). b. 
 has been communicated by the International Bureau. c. 🖾 is not required, as the application was filed in the United States Receiving Office (RO/US). ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.  $\square$  are attached hereto (required only if not communicated by the International Bureau). b.  $\square$  have been communicated by the International Bureau. c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired. d. 

A have not been made and will not be made. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 
An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

This collection of information is requried by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. 0 kp/5-67 388 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2005/023765 ETH5084USPCT The following fees are submitted: Applicant use Office use only \$ 300.00 □ a) Basic national fee ......\$300.00 200.00 \$ 500.00 ☑ c) Search fee......\$500.00 \$ 1000.00 TOTAL OF ABOVE CALCULATIONS = ☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number 13 -100 =0 /50 =x \$250.00 0.00 Surcharge of \$130,00 for furnishing the oath or declaration later than 30 months from the earliest 0.00 claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims -20 0 14 x \$50.00 Independent claims 0 x 200.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 0.00 TOTAL OF ABOVE CALCULATIONS = 0.00 \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced SUBTOTAL = \$1000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the 0.00 earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$1000.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ 40.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1040.00 Amount to be refunded: \$ Amount to be charged: \$1040.00 a. 

A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 10-0750/ETH5084USPCT /BEL in the amount of \$1040.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. A The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0750/ETH5084USPCT /BEL. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. /Blossom E. Loo/ SEND ALL CORRESPONDENCE TO: Philip S. Johnson, Esq. Signature Johnson & Johnson Name: Blossom E. Loo Reg. No. 36,858

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Attorney for Applicants

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USA

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DOCKET NO. ETH5084USPCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sanyog M. Pendharkar et al.

For : HEMOSTATIC COMPOSITIONS CONTAINING STERILE

THROMBIN

Express Mail Certificate

"Express Mail" mailing number: EV 204049027 US

Date of Deposit:

February 7, 2006

I hereby certify that this complete application, including specification pages, claims, Declaration and Power of Attorney, and Assignment with Cover Sheet, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Laurie Phillips

(Typed or printed name of person mailing paper or fee)

/Laurie Phillips/

(Signature of person mailing paper or fee)